

Page 1 of 1 COMMISSIONER FOR PATENTS

TES OF MATERIAL			Washington, D.C. 2023i www.uspto.gov
APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER

10/087,223

HAMMAN & BENN

10 S. LaSalle Street Chicago, IL 60603

**Suite 3300** 

03/01/2002

Richard Cooper

UNITED STATES PATENT AND TRADEMARK OFFICE

1527.003

**CONFIRMATION NO. 6925** 

**FORMALITIES LETTER** 

OC000000007753643\*

Date Mailed: 04/01/2002

04/17/2002 STARSTON OF TICE IT OF FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FC:101 FC:103 03 FC:102 04 FC:104

740.00 OP 540.00 OP 36.00 DD 280<del>.00</del> OP 130.00 DP

FILED UNDER 37 CFR 1.53(b)

Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given TWO MONTHS from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing. Applicant must submit \$ 740 to complete the basic filing fee for a non-small entity. If appropriate, applicant may make a written assertion of entitlement to small entity status and pay the small entity filing fee (37 CFR 1,27).
- Total additional claim fee(s) for this application is \$1156.
  - \$540 for 30 total claims over 20.
  - \$336 for 4 independent claims over 3.
  - **\$280** for multiple dependent claim surcharge.
- · The oath or declaration is missing.
  - A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(I) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- The balance due by applicant is \$ 2026.

88888 88888

A copy of this notice MUST be returned with the reply.

Customer Service Center

Initial Patent Examination Division (703) 308-1202

PART 2 - COPY TO BE RETURNED WITH RESPONSE

04/17/2002 SDIRETA1 00000104 100872





FEE TRANSMITTAL for FY 2001

Patent fees are subject to annual revision.

TOTAL AMOUNT O	F PAYMENT
----------------	-----------

**(\$)** 2066

U.S. Patent and Tra	PTO/SB/17 (11-00) Approved for use through 10/31/2002. OMB 0851-0032 ademark Office; U.S. DEPARTMENT OF COMMERCE rmation unless it displays a valid OMB control number.
Co	emplete if Known
Application Number	10/087,223
Filing Date	3/1/02
First Named Inventor	RICHARD COOPER
Examiner Name	
Group Art Unit	2812
Attorney Docket No.	1527 .003

METHOD OF PAYMENT	FEE CALCULATION (continued)				
1. The Commissioner is hereby authorized to charge indicated fees and credit any overneyments to:	3. ADDITIONAL FEES				
indicated fees and credit any overpayments to:  Deposit	Large Small	Large Small			
Account 08-0425	Entity Entity Fee Fee Fee Fee Fee Pescription	C 0-14			
Number Deposit	Fee Fee Fee Fee Description Code (\$) Code (\$)	Fee Paid			
Account Hamman & Benn	105 130 205 65 Surcharge - late filing fee or oath				
Charge Any Additional Fee Required Under 37 CFR 1.16 and 1.17	127 50 227 25 Surcharge - late provisional filing fee or cover sheet				
Applicant claims small entity status. See 37 CFR 1.27	139 130 139 130 Non-English specification				
2. X Payment Enclosed:	147 2,520 147 2,520 For filing a request for ex parte reexamination				
Check Credit card Money Other	112 920* 112 920* Requesting publication of SIR prior to Examiner action				
FEE CALCULATION	113 1,840* 113 1,840* Requesting publication of SIR after Examiner action				
1. BASIC FILING FEE	115 110 215 55 Extension for reply within first month				
Large Entity Small Entity	116 390 216 195 Extension for reply within second month				
Fee Fee Fee Fee Description	117 890 217 445 Extension for reply within third month				
Code (\$) Code (\$) Fee Paid  101 710 201 355 Utility filing fee 740	118 1,390 218 695 Extension for reply within fourth month				
106 320 206 160 Design filing fee	128 1,890 228 945 Extension for reply within fifth month				
107 490 207 245 Plant filing fee	119 310 219 155 Notice of Appeal				
108 710 208 355 Reissue filing fee	120 310 220 155 Filling a brief in support of an appeal				
114 150 214 75 Provisional filing fee	121 270 221 135 Request for oral hearing				
740	138 1,510 138 1,510 Petition to institute a public use proceeding				
SUBTOTAL (1) (\$)	140 110 240 55 Petition to revive - unavoidable				
2. EXTRA CLAIM FEES	141 1,240 241 620 Petition to revive - unintentional				
Fee from Extra Claims below Fee Paid	142 1,240 242 620 Utility issue fee (or reissue)				
Total Claims -20** = X = 540	143 440 243 220 Design issue fee				
Independent - 3** = X = 336	144 600 244 300 Plant issue fee				
Multiple Dependent = 200	122 130 122 130 Petitions to the Commissioner				
	123 50 123 50 Processing fee under 37 CFR 1.17(q)				
Large Entity Small Entity Fee Fee Fee Fee Fee Description	126 180 126 180 Submission of Information Disclosure Stmt				
Code (\$) Code (\$) 103 18 203 9 Claims in excess of 20	581 40 581 40 Recording each patent assignment per property (times number of properties)	40			
102 80 202 40 Independent claims in excess of 3	146 710 246 355 Filing a submission after final rejection (37 CFR § 1.129(a))				
104 270 204 135 Multiple dependent claim, if not paid 109 80 209 40 ** Reissue independent claims	149 710 249 355 For each additional invention to be				
over original patent	examined (37 CFR § 1.129(b))				
110 18 210 9 ** Reissue claims in excess of 20 and over original patent	179 710 279 355 Request for Continued Examination (RCE) 169 900 169 900 Request for expedited examination				
SUBTOTAL (2) (\$) 1156	of a design application Other fee (specify)				
**or number previously paid, if greater; For Reissues, see above	*Reduced by Basic Filing Fee Paid SUBTOTAL (3) (\$) 40	)			

SUBMITTED BY

Name (PrintlType)

Milton S. Gerstein Registration No. (Attorney/Agent)

Signature

Complete (if applicable)

Telephone 312-372-2920

Date 4/8/02

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.



## CERTIFICATE OF MAILING

T, the undersigned, certify that I am depositing the enclosed Fee Transmittal Fee, Copy of Notice to File Missing Part, Declaration/Power of Attorney, one assignment, and check in the amount of \$2066, for application serial number 10/087,223, filed on March 1, 2002, Applicants Richard Cooper, et al., as first class mail with the United States Postal Service, on this the 8<sup>th</sup> day of April 2002, in an envelope addressed to the Commissioner of Patents & Trademarks, Washington, D.C. 20231.

y: 1121

Milton S. Gerstein Reg. No. 27,891